



## Effects of Probiotics on Intestinal Microflora of HIV-infected Individuals

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### Author's contribution

*The sole author designed, analyzed and interpreted and prepared the manuscript.*

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### ABSTRACT

**Aims:** HIV-1 infection results in structural damage to the intestinal mucosa and changes of gut microflora following dysfunction of the gastrointestinal system, including compromised barrier function. Known properties of probiotics suggest that they may be useful tools in restoring normal intestinal flora. Our study goal was to determine whether the use of a probiotics can recover normal gut flora in chronically HIV-infected adults.

**Study Design:** Cohort Design.

**Place and Duration of Study:** Sumy State University, Medical Institute. Department of Microbiology and Clinical Immunology.

**Methodology:** The study involved 40 HIV-1-infected patients of the regional center of prevention and control of AIDS in Kharkov. All the patients were informed about the purpose and plan of study and gave their written agreement to participate in the study. All the patients had been diagnosed according to the criteria of WHO with the III-IV stage of HIV infection. During the month before the survey the patients did not take any antibiotics. Dysbiosis correction circuit was designed for one month taking of probiotic preparations. Six weeks later the follow-up study was conducted to investigate gut microflora of 20 HIV-infected patients.

**Results:** Changes of intestinal microbiota were found in all of the patients. In the most cases the

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decrease of obligatory microorganisms, especially *Bifidobacterium* spp. (in 90% of patients) was found. Overgrowth of major opportunistic pathogens (*S. aureus* and *Candida* spp.) was registered in only a minority of patients. The probiotic interventions resulted in significantly elevated levels of beneficial bacteria load (such as *Bifidobacterium* spp, *Lactobacillus* spp.) and a decrease in pathogenic bacteria load (such as *Clostridium* spp, *Candida* spp).

**Conclusion:** Probiotic preparations can successfully augment the levels of beneficial species in the gut during chronic HIV-1 infection. These findings may help inform future studies aimed at testing pre- and probiotic approaches to improve gut function and mucosal immunity in chronic HIV-1 infection.

**Keywords:** *Microbial translocation; inflammation; probiotic bacteria; lactobacillus; HIV-1; anti-retroviral therapy (ART).*

## 1. INTRODUCTION

It is known that the total number of microorganisms inhabit the human gut ( $10^{14}$ ), on two orders exceeds total number of the cells. Besides, a large number of exogenous xenobiotic including pathobionts and food antigens passes through the intestine daily. It's not a surprise that up to 80% of the lymphoid tissue is associated with the intestine region (GALT).

Indigenous intestinal microflora has a symbiotic relationship with the intestinal mucosa and is an integral part of the gastrointestinal tract. Close interaction between the microbiota and mucosa is a major imperative of intestinal homeostasis [1,2]. It has been found out recently that dysbiotic changes in the gut (dysbiosis) accompany not only various intestinal disorders, but are also associated with a wide range of multi-organ pathologies, including HIV infection [3,4].

It is shown that after penetrating into the mucosa HIV infects 60% of resting Ki67-CD4+ T-cells, leading to their activation. Activated CD4 + T-cells actively produce virus which infects cells via the neighbor cell contacts and circulates through the bloodstream to distant organs and tissues. As a result, the body forms a large reservoir of active infectious virus that can't be neutralized so far [5].

Equally important is the direct impact of the virus on enterocytes. HIV has been established to infect and destroy vast amount of GALT CD4+ T cells and dendritic cells, as well as affect directly enterocytes: tat protein of HIV inhibits glucose uptake by enterocytes, impairing their function, gp120 protein increases the amount of calcium in the cells, which causes depolymerization of tubulin and, consequently, dysfunction of cytoskeleton. This leads to disruption of

intercellular interaction and increased permeability of the intestinal barrier. At the same time the expression of genes that control the integrity of epithelium is suppressed [6].

Enteropathy accompanied by HIV is characterized by villous atrophy, crypt hyperplasia, malabsorption of several important nutrients, apoptosis of enterocytes, and increased permeability of epithelium. Mass deaths of the immune effector cells in the lamina propria, destruction of Peyer's patches, and a sharp reduction of secretory IgA and defensins levels create favorable conditions for the breeding of excessive microflora including pathogenic ones in the intestinal lumen [7].

These factors lead to the penetration of lipopolysaccharide (LPS) and other bacterial components through the intestinal barrier into the blood circulation although bacteremia is not observed as a rule. Translocation of LPS and chronic exposure to peripheral lymphocytes result in persistent systemic immune response accompanied by high level of proinflammatory cytokines, which fairly soon leads to the depletion of the immune system. It is believed that translocations and chronic immune activation play a key role in the development and progress of opportunistic complications [8,9].

Although it is not clear whether the dysbiosis of intestinal microbiota in HIV infection is a primary factor leading to the development of the disease or secondary response to other factors; it is evident that it plays a significant role in the chronic phase of infection and the appearance of opportunistic complications.

This opens up the prospect of influence on the infectious process by correcting dysbiotic changes in HIV-infected patients. In this regard,

the aim of the present study was to evaluate changes in microflora of the large intestine in chronic HIV infection and the possibility of correction by means of bacterial preparations (probiotics).

## 2. MATERIALS AND METHODS

### 2.1 Patients

The study involved 40 HIV-1-infected patients of the regional center of prevention and control of AIDS in Kharkov. The study was conducted on an outpatient basis of the Department of Microbiology and Clinical Immunology in Kharkiv Medical Academy of Postgraduate Education (KhMAPE). All the patients were informed about the purpose and plan of study and gave their written agreement to participate in the study. All the patients had been diagnosed according to the criteria of WHO with the III-IV stage of HIV infection.

Patients were divided into the two groups:

Group 1 (n=24) (60% - patients) - CD4 + cells counts at the time of the study was up to and including 350 cells /  $\mu$ l.

Group 2 (n=16) - CD4 + cells counts at the time of the study was higher than 350 cells /  $\mu$ l.

Dysbiosis correction circuit was designed for one month taking of probiotic preparations. Six weeks later the follow-up study was conducted to investigate gut microflora of 20 HIV-infected patients.

### 2.2 Fecal Bacteriologic Culture

The contents of the colon in an amount of 2-3 g was taken to the laboratory and processed within 2 hours in a sterile vial without preservative. Collection of material was carried out before the use of antibiotics and bacterial preparations (probiotics, prebiotics et al.) [10,11].

The study of qualitative and quantitative composition of microflora of the colon was carried out by plating ten-fold dilutions of faeces samples ( $10^1$ - $10^9$ ) on a standard set of selective and differential diagnostic medium for the selection of intestinal microorganisms. Ten-fold serial dilutions of each fecal sample were performed and plated on selective and non-selective media for enumeration of the members of the intestinal microflora. Stool samples were placed on solid media (Bismuth Sulphite Agar,

EMB Agar (Levine), Endo Agar, Blood Agar, Baird-Parker Agar, Sabouraud Dextrose Agar, Clostridial Agar, Rogosa SL Agar, Bifidobacterium Agar, HiMedia Lab., India). The plates were incubated at 37 °C for 24 or for 48 h. The incubated microorganisms were then counted and identified with accordance to standard procedures [10,11]. Summarized data of control group (10 healthy adults) microflora contents served as a normal standard.

During the survey, patients did not receive medications with potentially possible effects on the gastrointestinal tract, including antibiotics.

Correction of dysbiotic disorders was carried out by taking into account the individual specific intestinal flora changes. The structure included cocktail commercial preparations of Probiotic Complex ("Santegra", USA); Enterol 250 ("Biocodex", Ukraine); Bifikol ("Biopharma", Ukraine); Laktiale ("Farmak", Ukraine). All patients received probiotic drugs, depending on their microbial content. Thus, patients with a lack of lactobacilli, bifidobacteria and enterococci in feces samples received "Lactiale" according to the instructions. Due to the composition of preparations, "Probiotic Complex" was administered at reducing the number of bifidobacteria and lactobacilli; "Bifikol" was prescribed in cases with a deficit of *E.coli*.

The scheme of correction was calculated for 1 month of taking probiotics. Clinical and microbiological changes were evaluated before and after correction by probiotics.

All bacterial counts (colony-forming units (CFU)/g of wet feces) were transformed to logarithm ( $\log_{10}$ CFU) for ease of statistical analysis.

### 2.3 Statistical Analysis

The results are presented in the form of averages, standard deviation and median assuming a normal distribution of data. Normal distribution of quantitative traits was verified by the Shapiro-Wilk test. The research results are processed using "STATISTICA 10.0" (StatSoft Inc., USA, version 10.0.1011.6) and spreadsheet editor Microsoft Excel 2013.

## 3. RESULTS AND DISCUSSION

27 of 40 (67.5%) HIV-infected patients participated in the study were women and

13 (32.5%) men. The average age of patients was  $35.6 \pm 8.2$  years. The average number ( $M \pm m$ ) of CD4+ T cells before the study was  $426 \pm 264$  in  $1 \mu\text{l}$  (Table 1).

As it can be seen from Table 2, the quantitative and qualitative composition of the normal microflora of the large intestine has been altered in all patients.

**Table 1. Patients characteristics of the study groups (n=40)**

Characteristic	Value	HAART treatment	
		More than 1 year n=24	Less than 1 year n=16
Sex n(%)			
-male	13(32.5%)	6(25%)	7(44%)
-female	27(67.5%)	18(75%)	9(56%)
Age ((year)			
<i>M±SD*</i> ;	$35.6 \pm 8.2$	$37,4 \pm 7,8$	$33 \pm 8,2$
<i>Median</i> )	34	36	31
Blood CD4 cell count (cells/ml)			
- all(n=40)			
<i>M±SD</i> ;	$426 \pm 264$	$281 \pm 111$	$600 \pm 307$
<i>Median</i>	416	243	479
- <350 cells / $\mu\text{l}$			
<i>M±SD</i>	$223 \pm 99$	$235 \pm 100$	$201 \pm 108$
<i>Median</i>	220	299	199
- >350 cells / $\mu\text{l}$			
<i>M±SD</i>	$462 \pm 280$	$300 \pm 113$	$691 \pm 289$
<i>Median</i>	424	239	595

\*Mean  $\pm$  standard deviation (SD)

**Table 2. Fecal flora in HIV-1 infected patients before and after the correction of probiotics**

Covariates	Fecal flora before correction ( $\log_{10}\text{CFU}$ )	Patients (n=40)	Fecal flora after correction ( $\log_{10}\text{CFU}$ )	Patients (20)	Normal
<i>Bifidobacterium spp.</i>	$5.9 \pm 0.9$ $7.0 \pm 1.1$	36 4	$5.9 \pm 1.4$ $8.0 \pm 1.8$	19 1	$9.7 \pm 1.4$
<i>Lactobacillus spp.</i>	$5.0 \pm 0.8$ $6.7 \pm 1.07$	35 5	$5.0 \pm 1.1$ $7.7 \pm 1.7$	12 8	$7.7 \pm 1.2$
<i>E. coli (lac+)</i>	$5.9 \pm 1.2$ $8.7 \pm 1.4$	24 16	$8.7 \pm 1.9$	20	$8.0 \pm 1.3$
<i>E. faecalis</i>	$5.0 \pm 1.02$ $7.7 \pm 0.8$	25 15	$5.0 \pm 1.1$ $7.7 \pm 1.7$	6 14	$7.74 \pm 1.2$
<i>E. faecium</i>	$5.0 \pm 0.8$ $5.9 \pm 0.9$	34 6	$5.0 \pm 0.9$	20	$7.7 \pm 1.2$
<i>E. coli Hly</i>	ND $5.0 \pm 0.8$	2 38	ND $5.0 \pm 1.1$	19 1	ND
<i>S.aureus</i>	ND $4.0 \pm 1.6$	33 7	ND	20	ND
<i>S. epidermidis</i>	$4.0 \pm 0.8$ $5.0 \pm 1.4$	27 13	ND	20	$4.0 \pm 0.6$
<i>Candida spp.</i>	$2.9 \pm 0.5$ $4.0 \pm 1.5$	32 8	ND	20	$4.0 \pm 0.6$
<i>Cl. perfringens</i>	$2.0 \pm 0.3$ $2.9 \pm 0.4$	38 2	$2.0 \pm 0.5$ $2.9 \pm 0.7$	16 4	$2.9 \pm 0.5$

Data as mean  $\pm$  standard deviation ( $\text{Log}_{10}\text{counts/g feces}$ )

ND not detected

In studying the microbial gut content, there were discovered violations of the qualitative and quantitative composition of anaerobic and facultative anaerobic bacteria. Reduced number of bacteria concerned primarily *bifidobacteria*, which dominated in the anaerobic flora and accounted for about 95% of the gut microflora. According to our data, in 90% of cases the number of *bifidobacteria* was less than  $5.9 \pm 0.9$  and in 10 % of cases it was about  $7.0 \pm 1.1$ . The number of very important *lactobacilli* at HIV infection is significantly reduced against healthy controls accounting less than  $5.0 \pm 0.8$  in 87.5% and  $6.7 \pm 1.07$  in 12.5% of patients against  $7.7 \pm 1.23$  respectively ( $p < 0.05$ ).

The group of anaerobic bacteria, *Bacteroides*, wasn't detected in patients. The leading representative of the facultative anaerobic bacteria belonging to the indigenous microflora is *E. coli*. In about half of all patients, the number of facultative anaerobes has remained constant, and in the other it decreased by 1-2 orders. Hemolytic *E. coli* strains in small concentrations were presented in only 5% of the patients.

The same trend is observed in relation to other pathobionts: *S. aureus*, *S. epidermidis*, and *C. albicans* in low titers are found in only a minority of infected patients ( $p < 0.05$ ). Only in one patient *Clostridium spp.* were isolated in very low concentrations. In addition, a case of a serious intestinal dysbiosis in HIV-infected patients was accompanied by falling down on 1-2 orders of the obligate commensals *E. faecalis*, and *E. faecium* presented in large numbers in the faeces of healthy adults.

Thus, HIV infection, regardless of the duration of the course, the clinical stage of the disease and antiviral managing manifests a profound violation of the gut homeostasis accompanied by a simultaneous decrease in quantitative anaerobic (*bifidobacteria* and *lactobacilli*) and facultative anaerobic flora (*E. coli*).

In our study, the use of probiotic bacterial preparations on the background of the microbiome dysbiosis in HIV-infected patients resulted in a significant mitigation of these violations, but complete restoration was not also observed (Table 2).

Serious changes of the intestine microflora in chronic HIV infection have been identified by other researchers, too [12,13]. Significant changes of intestinal microbiota is accompanied

by the appearance of communities of enteropathogenic bacteria capable of converting tryptophan to kynurenine immunomodulatory derivatives, which correlates with the progression of the disease and contributes to the violation of mucosal immunity. At the same time ART-naïve patients increases the levels of some bacterial taxa, and the suppression of 45 taxa. The most significant enrichment was mentioned for Erysipelotrichaceae, which often accompanies obesity and is associated with increased incidence of cardiovascular system disorders. Such types as *Proteobacteria* are part of the most enriched genera of ART-naïve patients. Among them is the species included in the genera of *Salmonella*, *Escherichia*, *Serratia*, *Shigella* and *Klebsiella* of the *Enterobacteriaceae* family, known as pro-inflammatory pathobionts. The gut content of ART-naïve HIV-carriers is enriched with *Staphylococcus*, *Pseudomonas*, *Campylobacter spp.*, *Candida albicans*, which often cause opportunistic infections and bacteremia, with a significant decrease in the content of *bifidobacteria* and *lactobacilli*, *Clostridia* and *Bacteroides* with particularly strong suppression of *Bacteroides* and *Alistipes* genera. The studies showed the dramatic reduction in the levels of lacto- and bifidobacteria and increases in the concentration of pathogenic species, including *Candida albicans* and *Pseudomonas aeruginosa* in HIV-carriers [14,15].

Thus, HIV-induced dysbiosis appears to be characterized by decreased abundances of bacteria that are regarded as commensal or protective accompanied by an expansion of bacteria that are potentially inflammatory or pathogenic, which agrees with mucosal inflammation in HIV infection.

Such probiotics as *Lactobacillus rhamnosus* GR-1 have a beneficial effect on preservation of immunity in HIV infection [16].

Recently, it has been found that the balance between the two subpopulations of CD4 + regulatory T cells, Th17 and CD25 + FoxP3 + (Treg) is responsible immune mechanisms which protect against infections and autoimmune disorders.

Treg-cells (regulatory T cells) express toll-like receptor 4 (TLR-4) and activated by LPS. Some *Lactobacillus* species (*L. reuteri* and *L. casei*, but not *L. plantarum*) also activate these cells [17]. The number of HIV-specific Treg-cells is increased in patients responding to ART.

Significant depletion of Th17-cells and decrease of CD4+ CD161+ cells are associated with progressive loss of Treg-cells, immune activation and progression of the disease [18]. The combination of probiotics in the model system can increase the content of Treg-cells, and suppress the development of the disease [19]. Activity had only a mixture of several species of *Lactobacilli*. Suppressive activity was accompanied by increased secretion of IL-10 Treg-cells, which led to a weakening of the secretion of pro-inflammatory cytokines by cells Th1 and Th17. Model system showed that taking of probiotics (*L. acidophilus*, *L. casei*, *L. reuteri*, *Bifidobacterium bifidum* and *Streptococcus thermophilus*) induced a low response of T and B cells, reduced the secretion of Th1, Th2 and Th17 cytokines, inhibited apoptosis and caused migration of Treg-cell into the inflammation area [20]. Probiotics have a beneficial effect on the HIV-infection [16]. Gori et al. have shown that simultaneous use of probiotics results in a significantly increased number of *bifidobacteria* and reduction of *Clostridium coccooides*, *Eubacterium rectale*, *Clostridium lituseburensense* and *Clostridium histolyticum* [21]. It is also shown that the oligosaccharide mixture as a prebiotic reduces the level of sCD14, decreases activation of CD4+ T-cells and enhances the NK-cell activity in ART-naïve HIV-infected adults [22].

Thus, the beneficial effect of probiotic bacteria may include effects on the immune status and immune activation, course of HIV infection, on translocation and the balance of regulatory T-cells. These findings thus suggest that the correction of dysbiosis can have desirable effects in the restoration of intestinal function and repair.

This study has some limitations: no exact typing of the virus was performed; we did not apply different probiotics, food habits were not taken into account; did not compare the viral load data with microbial intestinal landscape.

#### 4. CONCLUSION

In conclusion, a decrease in total obligate anaerobes and an increase in pathogenic bacteria in the gut are indicated in patients with HIV-1 and probiotic preparations can successfully augment the levels of beneficial species in the gut. These findings may help inform future studies aimed at testing pre- and probiotic approaches to improve gut function and mucosal immunity in chronic HIV-1 infection.

#### ETHICAL APPROVAL

Study protocol was approved by the Ethics Committee of the regional center of prevention and control of AIDS in Kharkov.

#### COMPETING INTERESTS

Author has declared that no competing interests exist.

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